

Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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EFS ID: 14941
Application ID: 10063559
Title of Invention: Method, System, and Computer
Software for Providing a Genomic
Web Portal
First Named Inventor: David Kulp
Domestic/Foreign Application: Domestic Application
Filing Date: null
Effective Receipt Date: 2002-05-02
Submission Type: Utility Patent Filing
Filing Type: new-utility
Confirmation Number: 0
Attorney Docket Number: 3291.7
Digital Certificate Holder: cn=Alan B. Sherr, ou=Registered Attorneys, ou=Patent and
Trademark Office, ou=Department of Commerce, o=U.S.
Government, c=US
Certificate Message Digest: IM0tE3vol87KOR2QRlz3yg==
Total Fees Authorized: \$2256.0
Payment Category: DA - Deposit Account
Deposit Account Number: 10431
Deposit Account Name: Alan B. Sherr



TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket
Number:

3291.7

Submission Type: Utility Patent
Filing

Method, System, and Computer Software for Providing a Genomic Web Portal

First Named Inventor: Mr. David C. Kulp

SUBMITTED BY

Name: Mr. Alan B. Sherr

Registration Number: 42,147

Electronic Signature Mark: /Alan B.
Sherr/

Date Signed: 20020502

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I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

declaration dec_p1.tif

declaration dec_p2.tif

declaration	dec_p3.tif
declaration	dec_p4.tif
declaration	dec_p5.tif
declaration	dec_p6.tif
declaration	dec_p7.tif
specification	3291.7xml.xml
bibd-transmittal	subapds.xml
fee-transmittal	subfee.xml
patent-assignments	subasgn.xml

Attached Image File(s):

dec_p1.tif
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Comments:

[illegible]

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PTO/SB/01 (12-97)

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted With Initial Filing OR <input type="checkbox"/> Declaration Submitted after initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	3291.7
	First Named Inventor	Kulp
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	May 2, 2002
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method, System and Computer Software For Providing A Genomic Web Portal

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input checked="" type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/268,429	5/3/2001	
60/301,298	6/25/2001	
60/306,033	7/16/2001	
60/333,622	11/27/2001	

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365 of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.58 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/US 01/02316	1/24/2001	

Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

☐ Customer Number 22886 → **Place Customer Number Bar Code Label here**

OR

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Alan B. Sherr	42,147	Wei Zhou	44,419
		Philip L. McGarrigle	31,395
		Man Sung Co	42,423

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 22886 OR ☒ Correspondence address below

Name	Affymetrix, Inc.				
Address	General IP Counsel - Legal Department				
Address	3380 Central Expressway				
City	Santa Clara	State	CA	ZIP	95051
Country	USA	Telephone	408/731-5000	Fax	408/731-5392

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
David C.		Kulp			
Inventor's Signature				Date	5/1/02
Residence: City	Albany	State	CA	Country	USA
Post Office Address	827 Jackson Street				
Post Office Address					
City	Alban y	State	CA	ZIP	94706
				Country	USA

☒ Additional Inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

[Page 2 of 2]

PTO/SB/02A (3)

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
Michael A.				Siani-Rose			
Inventor's Signature	Michael A Siani-Rose					Date	5-1-02
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	USA
Post Office Address	341 Day Street						
Post Office Address							
City	San Francisco	State	CA	ZIP	94131	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
Shaw				Sun			
Inventor's Signature						Date	
Residence: City	El Cerrito	State	CA	Country	USA	Citizenship	USA
Post Office Address	744 Albemarle Street						
Post Office Address							
City	El Cerrito	State	CA	Zip	94530	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
Ron T.				Shigeta			
Inventor's Signature	Ron Shigeta					Date	5-1-02
Residence: City	Berkeley	State	CA	Country	USA	Citizenship	USA
Post Office Address	1213 San Pablo Avenue, #203						
Post Office Address							
City	Berkeley	State	CA	Zip	94710	Country	USA

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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 2			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Givuyin				Liu			
Inventor's Signature				Date		5/2/02	
Residence: City		San Ramon		State		CA	
				Country		USA	
Post Office Address		564 Country Brook Loop					
Post Office Address							
City		San Ramon		State		CA	
				ZIP		94583	
				Country		USA	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Slovo				Oshervitz			
Inventor's Signature				Date			
Residence: City				State		CA	
				Country		USA	
Post Office Address							
Post Office Address							
City				State		CA	
				Zip			
				Country		USA	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City				State			
				Country			
Post Office Address							
Post Office Address							
City				State			
				Zip			
				Country			

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Gourling				Liu			
Inventor's Signature				Date			
Residence: City		San Ramon	State	CA	Country	USA	Citizenship
Post Office Address		584 Country Brook Loop					
Post Office Address							
City		San Ramon	State	CA	ZIP	94593	Country
						USA	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Stephen C.				Trulene			
Inventor's Signature		<i>Stephen C. Trulene</i>		Date		5/2/02	
Residence: City		Albany	State	CA	Country	USA	Citizenship
Post Office Address		1077 Curtis Street					
Post Office Address							
City		Albany	State	CA	ZIP	94706	Country
						USA	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City			State		Country		Citizenship
Post Office Address							
Post Office Address							
City			State		ZIP		Country

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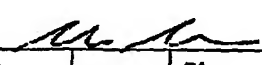
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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet
Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
Michael A.				Sigari-Rosen			
Inventor's Signature						Date	
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	USA
Post Office Address		341 Day Street					
Post Office Address							
City	San Francisco	State	CA	ZIP	94131	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
Shaw				Sun			
Inventor's Signature						Date	5/2/2002
Residence: City	El Cerrito	State	CA	Country	USA	Citizenship	USA
Post Office Address		744 Alhambra Street					
Post Office Address							
City	El Cerrito	State	CA	ZIP	94530	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
Ron T.				Shigeta			
Inventor's Signature						Date	
Residence: City	Berkeley	State	CA	Country	USA	Citizenship	USA
Post Office Address		1219 San Pablo Avenue, #203					
Post Office Address							
City	Berkeley	State	CA	ZIP	94710	Country	USA

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FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 2256

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 01-0431



Deposit Account Name: Affymetrix, Inc.

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

SUBMITTED BY

Authorized Name: Alan B. Sherr

Electronic Signature Mark: /Alan B. Sherr/

Date Signed: 20020502

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 60	103	\$ 18	40	\$ 720
Independent Claims: 12	102	\$ 84	9	\$ 756

Subtotal For Extra Claims Fees: \$ 1476

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40